# Homogenization in the Hencky plasticity setting

# Martin Jesenko



# joint work with Bernd Schmidt (Universität Augsburg)

M. Jesenko, B. Schmidt, Homogenization and the limit of vanishing hardening in Hencky plasticity with non-convex potentials, arXiv:1703.09443 [math.AP]

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### Homogenization and stress-strain diagram





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# Hencky plasticity



Our model:

- $\blacktriangleright$  elastic regime with linear dependence,
- $\blacktriangleright$  perfectly plastic regime (Hencky plasticity),
- $\blacktriangleright$  plastic regime with linear hardening.

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Thus, the energy at zero hardening is given by

$$
\mathcal{F}(u) = \int_{\Omega} f(\mathfrak{E}u(x)) dx \quad \text{with} \quad f(X) = f_{\text{dev}}(X_{\text{dev}}) + \frac{\varkappa}{2} (\text{tr } X)^2
$$

where  $\mathfrak{E}u(x) = \frac{1}{2}(\nabla u(x) + \nabla u(x)^T)$ ,  $X_{\text{dev}} = X - \frac{\text{tr } X}{n}I$  and  $f_{\text{dev}}$  is convex and given by

$$
f_{\text{dev}}^*(\sigma_{\text{dev}}) = \begin{cases} \frac{1}{4\mu} |\sigma_{\text{dev}}|^2, & \sigma_{\text{dev}} \in K_{\text{dev}},\\ \infty, & \sigma_{\text{dev}} \notin K_{\text{dev}}. \end{cases}
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$$

 $f_{\text{dev}}$  has linear growth. Thus  $f$  grows linearly in the deviatoric direction and quadratically in the trace.

Solvability shown e.g. in [Anzellotti, Giaquinta 82]

Non-homogeneous convex setting considered in [Demengel, Qi 90]

- Setting and spaces
- Case with hardening  $\mathcal{L}_{\mathcal{A}}$
- Homogenized density
- Recovery sequence  $\blacksquare$
- liminf-inequality  $\blacksquare$

# **Setting**

Generalization: non-convex non-homogenous energy

Let  $f: \mathbb{R}^n \times \mathbb{R}^{n \times n}_{sym} \to \mathbb{R}$ 

- $\blacktriangleright$  be  $\mathbb{I}^n\text{-periodic Carathéodory function}$  and
- If have a Hencky plasticity growth, i.e.  $\exists \alpha, \beta > 0$  such that for all  $x \in \Omega$  and  $X \in \mathbb{R}^{n \times n}_{\text{sym}}$

$$
\alpha(|X_{\text{dev}}| + (\text{tr } X)^2) \le f(x, X) \le \beta(|X_{\text{dev}}| + (\text{tr } X)^2 + 1).
$$

Let  $\mathcal{F}_{\varepsilon}: L^1(\Omega;\mathbb{R}^n) \to \mathbb{R} \cup {\infty}$  be defined by

$$
\mathcal{F}_{\varepsilon}(u) := \begin{cases} \int_{\Omega} f\left(\frac{x}{\varepsilon}, \mathfrak{E}u(x)\right) dx, & u \in ???, \\ \infty, & \text{else.} \end{cases}
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Does  $\{\mathcal{F}_{\varepsilon}\}_{\varepsilon}$  Γ-converge and whereto?

Conjecture: The limit is of form

$$
\mathcal{F}(u) := \begin{cases} \int_{\Omega} f_{\text{hom}}(\mathfrak{E}u(x)) dx + \text{recession(singular part)}, & u \in \text{wk-cl}(???), \\ \infty, & \text{else.} \end{cases}
$$

**n** Symmetrized gradient must exist (in weak sense), and

 $LD(\Omega; \mathbb{R}^n) := \{u \in L^1(\Omega; \mathbb{R}^n) : \mathfrak{E}u \in L^1(\Omega; \mathbb{R}^{n \times n})\}, \quad ||u||_{LD} := ||u||_{L^1} + ||\mathfrak{E}u||_{L^1}.$ 

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 $\blacksquare$  The natural domain for  $\mathfrak F$  is

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**E** Due to the lack of weak compactness, we introduce the space  $BD(\Omega;\mathbb{R}^n)$  of all  $u \in L^1(\Omega;\mathbb{R}^n)$  such that  $Eu \in M(\Omega;\mathbb{R}^{n \times n})$  with the norm

$$
||u||_{BD} = ||u||_{L^1} + ||Eu||_M.
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<sup>4</sup> Moreover,

 $U(\Omega; \mathbb{R}^n) := \{ u \in BD(\Omega; \mathbb{R}^n) : \text{div } u \in L^2(\Omega) \}, \quad ||u||_U := ||u||_{BD} + || \text{div } u||_{L^2}.$ 

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 $c \geq 0$  convex function with linear upper bound. *c-strict convergence*:

- ight strict convergence:  $u_j \to u$  in  $L^1(\Omega; \mathbb{R}^n)$  and  $|Eu_j|(\Omega) \to |Eu|(\Omega)$ ,
- $\blacktriangleright$  div  $u_j \to$  div u in  $L^2(\Omega)$ ,
- $\blacktriangleright \int_{\Omega} c(E_{\text{dev}} u_j) \to \int_{\Omega} c(E_{\text{dev}} u) \text{ and } \int_{\Omega} c(E u_j) \to \int_{\Omega} c(E u).$

Consider also  $f^{(\delta)}(x, X) = f(x, X) + \delta |X_{\text{dev}}|^2$  and  $\mathcal{F}_{\varepsilon}^{(\delta)}(u) := \begin{cases} \int_{\Omega} f^{(\delta)}(\frac{x}{\varepsilon}, \mathfrak{E} u(x)) dx, & u \in W^{1,2}(\Omega; \mathbb{R}^n), \end{cases}$ 

For  $\delta > 0$  the densities have a quadratic growth in  $|X_{sym}|$ . The functionals are therefore of Gårding type ([Schmidt, MJ 14]).

 $\infty$ , else.

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For  $\delta > 0$  the densities have a quadratic growth in  $|X_{\rm sym}|$ . The functionals are therefore of Gårding type ([Schmidt, MJ 14]). Hence,

$$
\Gamma(L^2) \text{-} \lim_{\varepsilon \to 0} \mathcal{F}_{\varepsilon}^{(\delta)} = \mathcal{F}_{\text{hom}}^{(\delta)}
$$

 $\infty$ , else.

where  $\mathcal{F}_{\text{hom}}^{(\delta)}$  has domain  $W^{1,2}(\Omega;\mathbb{R}^n)$  and has the density

$$
f_{\text{hom}}^{(\delta)}(X) = \inf_{k \in \mathbb{N}} \inf_{\varphi \in W_0^{1,2}(k\mathbb{I}^n; \mathbb{R}^n)} \frac{1}{k^n} \int_{k\mathbb{I}^n} f^{(\delta)}(x, X + \mathfrak{E}\varphi(x)) dx.
$$

In fact, it is also Γ-convergence in  $L^1$  because of the quadratic growth of the density and Poincaré's and Korn's inequality.





Let

$$
f_{\text{hom}}(X) := \inf_{k \in \mathbb{N}} \inf_{\varphi \in C_c^{\infty}(\{k\}^n; \mathbb{R}^n)} \frac{1}{k^n} \int_{k\mathbb{I}^n} f(x, X + \mathfrak{E}\varphi(x)) dx.
$$

Clearly

$$
f_{\text{hom}}(X) = \inf_{\delta > 0} f_{\text{hom}}^{(\delta)}(X).
$$

Define

$$
\mathcal{G}^{(0)}(u) := \begin{cases} \int_{\Omega} f_{\text{hom}}(\mathfrak{E} u(x)), & u \in LU(\Omega; \mathbb{R}^n) \cap W^{1,2}(\Omega; \mathbb{R}^n), \\ \infty, & \text{else,} \end{cases}
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Let

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f_{\text{hom}}(X) := \inf_{k \in \mathbb{N}} \inf_{\varphi \in C_c^{\infty}(\kappa \mathbb{I}^n; \mathbb{R}^n)} \frac{1}{k^n} \int_{k \mathbb{I}^n} f(x, X + \mathfrak{E}\varphi(x)) dx.
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Notice

$$
\mathcal{F}^{(\delta)}_{\text{hom}} \geq \Gamma\text{-}\limsup_{\varepsilon \to 0} \mathcal{F}_{\varepsilon} \quad \text{and therefore} \quad \mathop{\rm lsc} \mathcal{G} \geq \Gamma\text{-}\limsup_{\varepsilon \to 0} \mathcal{F}_{\varepsilon}.
$$

# Homogenized density

The homogenized density

$$
f_{\text{hom}}(X) := \inf_{k \in \mathbb{N}} \inf_{\varphi \in C_c^\infty(k\mathbb{I}^n; \mathbb{R}^n)} \frac{1}{k^n} \int_{k\mathbb{I}^n} f(x, X + \mathfrak{E}\varphi(x)) dx
$$

- $\triangleright$  is symmetric-quasiconvex,
- $\triangleright$  has Hencky plasticity growth,
- $\blacktriangleright$   $(f^{\text{qcls}})_{\text{hom}} = f_{\text{hom}}$ .

Subadditive  $\mathbb{Z}^n$ -invariant processes [Akcoglu, Krengel 80], [Licht, Michaille 02]:

$$
\inf_{k\in\mathbb{N}}\ldots=\lim_{k\to\infty}\ldots
$$

For every open bounded convex set V and  $\varepsilon_k \searrow 0$ 

$$
f_{\text{hom}}(X) = \lim_{k \to \infty} \inf_{\varphi \in C_c^{\infty} (\varepsilon_k^{-1} V; \mathbb{R}^n)} \frac{1}{|\varepsilon_k^{-1} V|} \int_{\varepsilon_k^{-1} V} f(x, X + \mathfrak{E} \varphi(x)) dx
$$
  

$$
= \lim_{k \to \infty} \inf_{\varphi \in LU_0 (\varepsilon_k^{-1} V; \mathbb{R}^n)} \frac{1}{|\varepsilon_k^{-1} V|} \int_{\varepsilon_k^{-1} V} f(x, X + \mathfrak{E} \varphi(x)) dx.
$$

### Recovery sequence

Idea for a recovery sequence for lsc G

Reshetnyak continuity theorem (Kristensen, Rindler 10)

Let  $f \in \mathbf{E}(\Omega;\mathbb{R}^N)$ , and

$$
\mu_j \stackrel{*}{\rightharpoonup} \mu
$$
 in  $M(\Omega; \mathbb{R}^N)$  and  $\langle \mu_j \rangle(\Omega) \to \langle \mu \rangle(\Omega)$ .

Then

$$
\begin{split} &\lim_{j\rightarrow\infty}\left[\int_{\Omega}f\left(x,\frac{d\mu^{a}_j}{d\mathcal{L}^{n}}(x)\right)\;dx+\int_{\Omega}f^{\infty}\left(x,\frac{d\mu^{s}_j}{d|\mu^{s}_j|}(x)\right)\;d|\mu^{s}_j|(x)\right]=\\ &=\int_{\Omega}f\left(x,\frac{d\mu^{a}}{d\mathcal{L}^{n}}(x)\right)\;dx+\int_{\Omega}f^{\infty}\left(x,\frac{d\mu^{s}}{d|\mu^{s}|}(x)\right)\;d|\mu^{s}|(x). \end{split}
$$

$$
\langle A \rangle := \sqrt{1 + |A|^2}
$$
  

$$
\mathbf{E}(\Omega; \mathbb{R}^N) = \{\text{functions extendable to } \infty\}
$$
  

$$
g^{\infty}(X) = \limsup_{Y \to X, t \to \infty} \frac{g(tY)}{t}
$$

Theorem

 $LU(\Omega;\mathbb{R}^n) \cap C^{\infty}(\Omega;\mathbb{R}^n)$  is dense in  $U(\Omega;\mathbb{R}^n)$  in  $\langle \cdot \rangle$ -strict topology.

### Recovery sequence  $\langle \cdot \rangle$ -strict continuity

#### Theorem

Let  $f : \Omega \times \mathbb{R}^{n \times n}_{sym} \to \mathbb{R}$  be a continuous function that

- $\triangleright$  is symmetric-rank-one-convex in the second variable,
- $\triangleright$  satisfies the Hencky growth condition.

Denote  $f_{\text{dev}} := f|_{\Omega \times \mathbb{R}_{\text{dev}}^{n \times n}}$ . Suppose that  $(f_{\text{dev}})^{\infty}(x_0, P_0) = \limsup_{P \to P_0, t \to \infty}$  $f_{\text{dev}}(x_0, tP)$ t is for every fixed  $P_0 \in \mathbb{R}^{n \times n}_{\text{dev}}$  a continuous function of  $x_0$ . Then the functional  $\mathcal{F}(u) = \int_{\Omega} f(x, \mathfrak{E}u(x)) dx + \int_{\Omega}$  $\int_\Omega (f_{\mathrm{dev}})^\infty\bigl(x,\frac{dE^s u}{d|E^s u|}(x)\bigr)\,\, d|E^s u|(x)$ is  $\langle \cdot \rangle$ -strictly continuous on  $U(\Omega; \mathbb{R}^n)$ .

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Ingredients of the proof:

- $\triangleright$  Special Lipschitz continuity in the trace direction
- Approximation of functions  $\geq -\alpha(1+|X|)$  by functions from  $\mathbf{E}(\Omega;\mathbb{R}^N)$ [Alibert, Bouchitté 97]
- ► Rank-one theorem (De Philippis, Rindler 16): Let  $u \in BD(\Omega;\mathbb{R}^n)$ . Then, for  $|E^s u|$ -a.e.  $x \in \Omega$ , there exist  $a(x), b(x) \in \mathbb{R}^n \setminus \{0\}$  such that

$$
\frac{dE^s u}{d|E^s u|} = a(x) \odot b(x) = \frac{1}{2}(a(x) \otimes b(x) + b(x) \otimes a(x)).
$$

We now have



with

$$
\mathcal{F}_{\text{hom}}(u) := \begin{cases} \int_{\Omega} f_{\text{hom}}\big(\mathfrak{E} u(x)\big) \, dx + \int_{\Omega} (f_{\text{hom}})^{\#}\big(\frac{dE^s u}{d|E^s u|}(x)\big) \, d|E^s u|(x), & u \in U(\Omega; \mathbb{R}^n), \\ \infty, & \text{else.} \end{cases}
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and

$$
g^{\#}(X) := \limsup_{t \to \infty} \frac{g(tX)}{t}.
$$

We may suppose  $\liminf_{j\to\infty} \mathcal{F}_{\varepsilon_j}(u_j) < \infty.$  Let us fix some  $1 < q < \frac{n}{n-1}$  and define measures

$$
\mu_j := f(\frac{1}{\varepsilon_j}, \mathfrak{E} u_j(\cdot)) \mathcal{L}^n.
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By stepwise extracting appropriate subsequences we may get a (not relabeled) sequence such that

- $\blacktriangleright$  lim<sub>j→∞</sub>  $\mathcal{F}_{\varepsilon_j}(u_j)$  equals the lim inf above with all  $u_j \in LU(\Omega;\mathbb{R}^n)$ ,
- $u_j \to u$  in  $L^q(\Omega;\mathbb{R}^n)$  due to the lower bound on f and since LU is compactly embedded in  $L^q$ ,
- and  $\mu_j \stackrel{*}{\rightharpoonup} \mu$  in  $M(\Omega; \mathbb{R}^n)$ .

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$$
 in  $M(\Omega; \mathbb{R}^n)$ .

Let

$$
\mu = g\mathcal{L}^n + \mu^s.
$$

Goal:

Regular points: for a.e.  $x_0 \in \Omega$ 

$$
g(x_0) = \lim_{\rho \to 0} \lim_{j \to \infty} \frac{\mu_j(B_\rho(x_0))}{|B_\rho(x_0)|} \ge f_{\text{hom}}(\mathfrak{E}u(x_0)).
$$

 $\triangleright$  Singular points:

$$
\mu^s \geq (f_{\rm hom})^\# \big(\tfrac{dE^s u}{d|E^s u|}\big) |E^s u|.
$$

#### Theorem

Every  $u \in BD(\Omega;\mathbb{R}^n)$  is  $L^q$ -differentiable a.e. for any  $1 \leq q \leq \frac{n}{n-1}$ , i.e., there exists a negligible set  $N \subset \Omega$  such that for all  $x_0 \in \Omega \setminus N$  there exists a matrix  $L_{x_0} \in \mathbb{R}^{n \times n}$  such that

$$
\lim_{r \to 0} \frac{1}{r^n} \int_{B_r(x_0)} \left| \frac{u(x) - u(x_0) - L_{x_0}(x - x_0)}{r} \right|^{n-1} dx = 0.
$$

Therefore, u is a.e. approximately differentiable with  $L_{x_0} = \nabla u(x_0)$  being the approximate differential.

Proof:  $q = 1$  by [Ambrosio, Coscia, Dal Maso 97] + (Korn-)Poincaré inequality for  $BD(\Omega;\mathbb{R}^n)$ 

### lim-inf inequality Regular points: De Giorgi's slicing method



Let us take and fix any  $x_0$  where the function  $u$  is approximately differentiable and define

$$
\tilde{u}(x) := u(x_0) + \nabla u(x_0) \ (x - x_0).
$$

Usually

$$
\tilde{u}_{j,i} := \tilde{u} + \varphi_i(u_j - \tilde{u}) \in L^1(\Omega; \mathbb{R}^n).
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But

$$
\operatorname{div} \tilde{u}_{j,i} = (1 - \varphi_i) \operatorname{div} \tilde{u} + \varphi_i \operatorname{div} u_j + + \nabla \varphi_i \cdot (u_j - \tilde{u})
$$

and there in no control on the last term  $L^2$ .

Regular points: De Giorgi's slicing method meets Bogovskii's operator



Let us take and fix any  $x_0$  where the function u is approximately differentiable and define

$$
\tilde{u}(x) := u(x_0) + \nabla u(x_0) \ (x - x_0).
$$

Usually

$$
\tilde{u}_{j,i} := \tilde{u} + \varphi_i(u_j - \tilde{u}) \in L^1(\Omega; \mathbb{R}^n).
$$

But

$$
\operatorname{div} \tilde{u}_{j,i} = (1 - \varphi_i) \operatorname{div} \tilde{u} + \varphi_i \operatorname{div} u_j + + \nabla \varphi_i \cdot (u_j - \tilde{u})
$$

and there in no control on the last term  $L^2$ .

$$
\zeta_{j,i} := \text{average of } \nabla \varphi_i \cdot (u_j - \tilde{u}) \text{ in } B_i \setminus B_{i-1}.
$$

By the result of Bogovskiı̆, there exist  $z_{j,i} \in W_0^{1,q}(B_i \setminus \overline{B_{i-1}})$  such that

$$
\operatorname{div} z_{j,i} = -\nabla \varphi_i \cdot (u_j - \tilde{u}) + \zeta_{j,i}
$$

with

$$
||z_{j,i}||_{W^{1,q}(B_i \setminus \overline{B_{i-1}})} \leq \frac{C\nu}{(1-\lambda)\rho} ||u_j - \tilde{u}||_{L^q(B_i \setminus \overline{B_{i-1}})}.
$$

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Now define  $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega;\mathbb{R}^n)$ . Notice that

 $u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$ 

Now define  $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega;\mathbb{R}^n)$ . Notice that  $u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$ 

Then

$$
f_{\text{hom}}(\mathfrak{E}u(x_0)) = \lim_{j \to \infty} \inf_{\varphi \in LU_0(B_{\rho}(x_0), \mathbb{R}^n)} \frac{1}{|B_{\rho}(x_0)|} \int_{B_{\rho}(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u(x_0) + \mathfrak{E}\varphi(x)\right) dx
$$
  

$$
\leq \liminf_{j \to \infty} \frac{1}{|B_{\rho}(x_0)|} \int_{B_{\rho}(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx
$$

Now define  $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega;\mathbb{R}^n)$ . Notice that  $u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$ 

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$$
  

$$
\leq \liminf_{j \to \infty} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx
$$

Averaging:  $f_{\text{hom}}(\mathfrak{E}u(x_0)) \leq \liminf_{j \to \infty}$ 1  $\frac{1}{\nu} \sum_{i=1}^{\nu}$  $i=1$ 1  $|B_{\rho}(x_0)|$  $\int_{B_{\rho}(x_0)} f\big(\frac{x}{\varepsilon_j}, \mathfrak{E} u_{j,i}(x)\big) dx.$ 

Now define  $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega;\mathbb{R}^n)$ . Notice that  $u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$ 

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$$
  

$$
\leq \liminf_{j \to \infty} \frac{1}{|B_{\rho}(x_0)|} \int_{B_{\rho}(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx
$$

Averageing: 
$$
f_{\text{hom}}(\mathfrak{E}u(x_0)) \le \liminf_{j \to \infty} \frac{1}{\nu} \sum_{i=1}^{\nu} \frac{1}{|B_{\rho}(x_0)|} \int_{B_{\rho}(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx.
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Now define  $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega;\mathbb{R}^n)$ . Notice that  $u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$ 

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$$



 $\blacktriangleright$  First term:  $\checkmark$ 

Now define  $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega;\mathbb{R}^n)$ . Notice that  $u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$ 

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$$
  

$$
\leq \liminf_{j \to \infty} \frac{1}{|B_{\rho}(x_0)|} \int_{B_{\rho}(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx
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$$



- $\blacktriangleright$  First term:  $\checkmark$
- Initial term:  $\lambda \nearrow 1$

Now define  $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega;\mathbb{R}^n)$ . Notice that  $u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$ 

Then

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f_{\text{hom}}(\mathfrak{E}u(x_0)) = \lim_{j \to \infty} \inf_{\varphi \in LU_0(B_{\rho}(x_0), \mathbb{R}^n)} \frac{1}{|B_{\rho}(x_0)|} \int_{B_{\rho}(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u(x_0) + \mathfrak{E}\varphi(x)\right) dx
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$$

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$$
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$$



- $\blacktriangleright$  First term:  $\checkmark$
- Initial term:  $\lambda \nearrow 1$
- Second term:  $L^q$ -differentiability

We suppose that for every  $\eta > 0$  there are

- $\blacktriangleright$   $\beta_n > 0$
- a Carathéodory function  $c^n : \mathbb{R}^n \times \mathbb{R}^{n \times n}_{sym} \to \mathbb{R}$  that is  $\mathbb{I}^n$ -periodic in the first variable and convex in the second,

such that for a.e.  $x \in \mathbb{R}^n$  and all  $X \in \mathbb{R}^{n \times n}_{sym}$ 

$$
|f(x, X) - c^{\eta}(x, X)| \le \eta (|X_{\text{dev}}| + (\text{tr } X)^2) + \beta_{\eta}.
$$

We will refer to this property as *asymptotic convexity*.

Let us notice that for  $f$  in our setting we may even suppose

- ►  $c^{\eta}$  to be non-negative with  $c^{\eta}(x, 0) = 0$  for every  $x \in \mathbb{R}^n$ ,
- dom $(c^*(x, \_))$  to be closed for a.e.  $x \in \mathbb{R}^n$ .

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- dom $(c^*(x, \_))$  to be closed for a.e.  $x \in \mathbb{R}^n$ .

[Demengel, Qi 90]: For such convex function c

$$
\Gamma\text{-}\lim_{\varepsilon \to 0} \mathcal{C}_{\varepsilon} = \mathcal{C}_{\text{hom}} \quad \text{with} \quad \mathcal{C}_{\text{hom}}(u) := \left\{ \begin{array}{cc} \int_{\Omega} c_{\text{hom}}(Eu(x)), & u \in U(\Omega; \mathbb{R}^n), \\ \infty, & \text{else,} \end{array} \right.
$$

where 
$$
c_{\text{hom}}(X) = \inf_{\varphi \in LU_{\text{per}}(\mathbb{I}^n; \mathbb{R}^n)} \int_{\mathbb{I}^n} c(x, X + \mathfrak{E}\varphi(x)) dx
$$
 and

$$
c_{\rm hom}\big(Eu(x)\big)=c_{\rm hom}\big(\mathfrak{E} u(x)\big)\ dx+(c_{\rm hom})^\#\left(\frac{dE^s u}{d|E^s u|}(x)\right)d|E^s u|(x).
$$

We have

$$
\mu_j:=f(\tfrac{\cdot}{\varepsilon_j},\mathfrak{E} u_j(\cdot))\mathcal{L}^n.
$$

We may suppose

- $\blacktriangleright$  lim<sub>j→∞</sub>  $\mathcal{F}_{\varepsilon_j}(u_j)$  equals the lim inf above with all  $u_j \in LU(\Omega;\mathbb{R}^n)$ ,
- $u_j \to u$  in  $L^q(\Omega;\mathbb{R}^n)$  due to the lower bound on f and since LU is compactly embedded in  $L^q$ ,
- $\blacktriangleright \mu_j \stackrel{*}{\rightharpoonup} \mu$  in  $M(\Omega;\mathbb{R}^n)$ ,

• 
$$
(|\mathfrak{E}_{\text{dev}} u_j| + (\text{div } u_j)^2) \mathcal{L}^n \stackrel{*}{\rightharpoonup} \sigma \text{ in } M(\Omega).
$$

We have

$$
\mu_j:=f(\tfrac{\cdot}{\varepsilon_j},\mathfrak{E} u_j(\cdot))\mathcal{L}^n.
$$

We may suppose

- $\blacktriangleright$  lim<sub>j→∞</sub>  $\mathcal{F}_{\varepsilon_j}(u_j)$  equals the lim inf above with all  $u_j \in LU(\Omega;\mathbb{R}^n)$ ,
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• 
$$
(|\mathfrak{E}_{\text{dev}} u_j| + (\text{div } u_j)^2) \mathcal{L}^n \stackrel{*}{\rightharpoonup} \sigma \text{ in } M(\Omega).
$$

For each  $\eta > 0$ 

$$
f(x, X) \geq c^{\eta}(x, X) - \eta(|X_{\text{dev}}| + (\text{tr } X)^2) - \beta_{\eta}
$$
  
\n
$$
\mu \geq c_{\text{hom}}^{\eta}(Eu) - \eta \sigma - \beta_{\eta} \mathcal{L}^n
$$
  
\n
$$
\mu^s \geq (c_{\text{hom}}^{\eta})^{\#}(\frac{dE^s u}{d|E^s u|})|E^s u| - \eta \sigma^s
$$

Since

$$
\lim_{\eta \to 0} (c_{\text{hom}}^{\eta})^{\#}(X) = (f_{\text{hom}})^{\#}(X),
$$

and

$$
\mu^s \ge (f_{\rm hom})^\# \left( \frac{dE^s u}{d|E^s u|} \right) |E^s u|.
$$

#### Theorem

Let us have a Carathéodory function  $f : \mathbb{R}^n \times \mathbb{R}^{n \times n}_{sym} \to \mathbb{R}$  that

- is  $\mathbb{I}^n$ -periodic in the first variable,
- $\blacktriangleright$  has Hencky plasticity growth.

Let us denote

$$
\mathcal{F}_{\varepsilon}(u) := \begin{cases} \int_{\Omega} f\left(\frac{x}{\varepsilon}, \mathfrak{E}u(x)\right) dx, & u \in LU(\Omega; \mathbb{R}^n), \\ \infty, & \text{else,} \end{cases}
$$

and

$$
\mathcal{F}_{\text{hom}}(u) := \begin{cases} \int_{\Omega} f_{\text{hom}}(\mathfrak{E} u(x)) \, dx + \int_{\Omega} (f_{\text{hom}})^{\#} \left( \frac{dE^s u}{d|E^s u|}(x) \right) d|E^s u|(x), & u \in U(\Omega; \mathbb{R}^n), \\ \infty, & \text{else.} \end{cases}
$$

Then

$$
\Gamma(L^1)\text{-}\limsup_{\varepsilon \to 0} \mathcal{F}_{\varepsilon} \leq \mathcal{F}_{\text{hom}},
$$

while for  $u \in LU(\Omega;\mathbb{R}^n)$  even

$$
\Gamma(L^1) \text{-} \lim_{\varepsilon \to 0} \mathcal{F}_{\varepsilon}(u) = \mathcal{F}_{\text{hom}}(u).
$$

The latter holds for all  $u \in L^1(\Omega;\mathbb{R}^n)$  if f is asymptotically convex.

#### Theorem

With assumptions and denotations as above, including the asymptotic convexity, the following diagrams commute:



# Thank you for your attention